



SECTOR  
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Stephens, et al.

Group No.: 2131

Serial No.: 09/702,483

Docket No. 191406-1010

Filed: October 31, 2000

For: **SYSTEM AND METHOD FOR SECURE MANAGEMENT OF REMOTE SYSTEMS**

**RESPONSE TO MISSING PARTS NOTICE**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, dated February 5, 2001, please find enclosed:

1. Executed Declarations by: Daniel Guy Stephens, Jr., Edwin Powali, and Stephen Lombard;
2. a copy of the Notice to File Missing Parts of Application, Filing Date Granted; and
3. a Credit Card Authorization Transmittal, authorizing the amount of \$130.00 to cover the additional surcharge fee for filing this Response to the Missing Parts Notice.

The Commissioner is authorized to charge Deposit Account 20-0778 for any deficiencies or credit any over payments.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By: 

Jeffrey R. Kuester, Reg. No. 34,367

Suite 1750, 100 Galleria Parkway  
Atlanta, Georgia 30339-5948  
(770) 933-9500  
MissingPartsResp



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

## Complete If Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09702,483        |
| Filing Date          | October 31, 2000 |
| First Named Inventor | Stephens, et al. |
| Examiner Name        | (Unassigned)     |
| Group / Art Unit     | 2131             |
| Attorney Docket No.  | 191406-1010      |

TOTAL AMOUNT OF PAYMENT (\$) 130.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge to the following Deposit Account,

Deposit Account Number: 20-0778

Deposit Account Name: Thomas, Kayden, Horstemeyer Risley

☐ Charge all indicated fees and any additional fee required or credit any overpayment.

☒ Charge any additional fee required and requested to credit any overpayment. ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☒ Credit Card

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 710             | 201            | 355             | Utility filing fee     | \$       |
| 106            | 320             | 206            | 160             | Design filing fee      | \$       |
| 107            | 490             | 207            | 245             | Plant filing fee       | \$       |
| 108            | 710             | 208            | 355             | Reissue filing fee     | \$       |
| 114            | 150             | 214            | 75              | Provisional filing fee | \$       |
| SUBTOTAL (1)   |                 |                |                 |                        | (\$0)    |

### 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 20** =       | 18.00          |          |
| Independent Claims | 3** =        | 80.00          |          |
| Multiple Dependent |              | 270.00         |          |

\*\*or number previously paid, if greater; For Reissue, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 80              | 202            | 40              | Independent Claims in excess of 3                         |
| 104            | 270             | 204            | 135             | Multiple dependent claims in excess of 3                  |
| 109            | 80              | 209            | 40              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2)   |                 |                |                 | <div>(\$)<b>0</b></div>                                   |

## FEE CALCULATION (continued)

| Large Fee Code                    | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   | Fee Paid |
|-----------------------------------|-----------------|----------------|-----------------|---|----------|
| 105                               | 130             | 205            | 65              | Surcharge - late filing fee   | 130      |
| 127                               | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet.                   |          |
| 139                               | 130             | 139            | 130             | Non-English specification   |          |
| 147                               | 2,520           | 147            | 2,520           | For filing a request for reexamination                                    |          |
| 112                               | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                    |          |
| 113                               | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                       |          |
| 115                               | 110             | 215            | 55              | Extension for reply within first month                                    |          |
| 116                               | 390             | 216            | 195             | Extension of time within second month                                     |          |
| 117                               | 890             | 217            | 445             | Extension of time within third month                                      |          |
| 118                               | 1,390           | 218            | 695             | Extension of time within fourth month                                     |          |
| 128                               | 1,890           | 228            | 945             | Extension of time within fifth month                                      |          |
| 119                               | 310             | 219            | 155             | Notice of Appeal  |          |
| 120                               | 310             | 220            | 155             | Filing a brief in support of an appeal                                    |          |
| 121                               | 270             | 221            | 135             | Request for oral hearing  |          |
| 138                               | 1,510           | 138            | 1,510           | Petition to institute a pubic use proceeding                              |          |
| 140                               | 110             | 240            | 55              | Petition to revive - unavoidable  |          |
| 141                               | 1,240           | 241            | 620             | Petition to revive - unintentional  |          |
| 142                               | 1,240           | 242            | 620             | Utility issue fee (or reissue)  |          |
| 143                               | 440             | 243            | 220             | Design issue fee  |          |
| 144                               | 600             | 244            | 300             | Plant issue fee   |          |
| 122                               | 130             | 122            | 130             | Petitions to the Commissioner   |          |
| 123                               | 50              | 123            | 50              | Petitions related to provisional applications                             |          |
| 126                               | 180             | 126            | 180             | Submission of Information Disclosure Stmt.                                |          |
| 581                               | 40              | 581            | 40              | Recording each patent assignment per property (time number of properties) |          |
| 146                               | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR 1.129(a))               |          |
| 149                               | 710             | 249            | 355             | For each additional invention to be examined (37 CFR 1.129(b))            |          |
| 179                               | 710             | 279            | 355             | Request for Continued Examination (RCE)                                   |          |
| 169                               | 900             | 169            | 900             | Request for expedited examination of a design application                 |          |
| Other fee (specify)               |                 |                |                 |   |          |
| Other fee (specify)               |                 |                |                 |   |          |
| *Reduced by Basic Filing Fee Paid |                 |                |                 |   |          |
| SUBTOTAL (3)                      |                 |                |                 |   | 130.00   |

|                       |                    |                          |        |
|-----------------------|--------------------|--------------------------|--------|
| SUBMITTED BY          |                    | Complete (if applicable) |        |
| Typed or Printed Name | Jeffrey R. Kuester | Reg. Number              | 34,367 |
| Signature             |                    | Date                     | 3/13/1 |
|                       |                    | Deposit Account User ID  |        |



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT   | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-------------------------|------------------------|
| 09/702,483         | 10/31/2000          | Daniel Guy Stephens JR. | 191406-1010            |

24504  
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 GALLERIA PARKWAY, NW  
STE 1750  
ATLANTA, GA 30339-5948

## FORMALITIES LETTER



\*OC000000005733785\*

Date Mailed: 02/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2001 JADD01 00000042 09702483

01 FC:105

130.00 OP